

STANDARD 7
CARRIER AND DRIVER PROFILES

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INTRODUCTION - CARRIER PROFILE

The National Safety Code (NSC) provides for various performance standards in the operation of motor vehicles. In order for the objectives of the NSC to be achieved, an equitable administrative program profiling the performance of each carrier regarding the various requirements of the NSC is necessary. The Profile system was established to provide NSC administrators with an overview of a carrier's record and the ability to review current and past performance. Its administration calls for inter-jurisdictional exchange of information and implies a coordination of carrier operations.

The Profile system encompasses a wide range of components from carrier demographics, conviction information, reportable accident information, CVSA inspection information, audit results and monitoring activity and information on the legal entity.

Appendix A outlines the minimum list of data elements to be maintained by each jurisdiction in its control system (demographic, conviction, reportable accident, Commercial Vehicle Safety Alliance (CVSA) inspection, audit/monitoring and legal entity information). Monitoring and sanctioning activities identified on the carrier profile will be directed toward the carrier to take corrective action with drivers or to improve safety management practices.

Appendix B provides for the minimum convictions to be kept on a carrier profile and exchanged among jurisdictions for both carriers and commercial drivers.

**Minimum List of Data Elements to be Contained
in Each Jurisdiction's Control System**

A. Demographic Information

1. Carrier Identification Number (NSC)
2. NSC Jurisdiction of carrier
3. Legal Entity Name(s)
(Names of company/companies)
 - Legal Entity Address(es)
 - street name and number, city name
 - province/territory
 - postal code
4. Carrier Rating
(Satisfactory, satisfactory unaudited, conditional, unsatisfactory)
5. Carrier Status
(Active/inactive)
6. Fleet Size
(Total number of power units in fleet)

B. Conviction Information

1. Heading Identifier
(Conviction information)
2. Ticket/Control Number
3. Name of Convicted
(Carrier/driver)
4. Driver's Licence Number
(If driver convicted)
5. Driver Licence Jurisdiction
6. Date of Offence
7. Time of Offence

8. Date of Conviction
9. Vehicle Licence Plate
(Power unit)
10. Plate Jurisdiction
11. Location of Offence
12. Conviction Description
13. Equivalency Code
14. Statute Name
(Act, section, subsection, clause)
15. Weighting for Conviction

C. Reportable Accident Information

1. Heading Identifier
(Accident information)
2. Accident Report Identifier (number)
3. Driver's Name
4. Driver's Licence Number
5. Driver Licence Jurisdiction
6. Vehicle Licence Plate Number
7. Plate Jurisdiction
8. Vehicle Description
9. Date of Accident
10. Time of Accident
11. Location of Accident
12. Accident Jurisdiction
13. Accident Type

14. Weighting for accident
(PDO/injury/fatality = 2/4/6, respectively)

D. CVSA Inspection Information

1. Heading Identifier
(CVSA information)
2. Inspection Report Identifier (number)
3. Level of Inspection
4. Place of Inspection
5. Date of Inspection
6. Time of Inspection
7. Inspection Result for each vehicle
 - unit plate number
 - plate jurisdiction
 - description of unit
 - itemization of defects and out of service indicator
(for each CVSA inspection category)
 - status of inspection result
(pass, violation present, out of service)
8. Driver Licence Number
9. Driver Name
10. Driver Licence Jurisdiction
11. Weighting for out of service CVSA report: 3

E. Audit Results and Monitoring Activity

1. Heading Identifier
(Intervention information)
2. Sanction Identifier (for example:)
 - warning letter
 - interview (compliance)
 - hearing (show cause)

- audit
 - vehicle plate/registration (suspension/revocation)
 - NSC authority (suspension/revocation)
 - monetary sanctions
3. Date of Sanction (interventions and sanctions)
 4. Start Date (effective date)
 5. End Date
(Reinstatement date or date eligible for re-entry)
 6. Date of each intervention
 7. Summary of Audit Results

F. Legal Entity - Director(s)/Partner(s) Information

Name(s) of directors/partners and applicable driver licence number(s) and jurisdiction of issuance.

**Minimum List of Convictions to be Kept on the
Carrier Profile**

A. Drivers (driver related elements for carrier management)

1. Criminal Code Offences
2. Moving Violations (highway safety offences)
3. Driver's Liabilities
 - Failure by a driver involved in an accident to report
 - Holding more than one valid driver licence
 - Driving without the proper class of licence
4. Driver related NSC Convictions

B. Carriers

1. Vehicle Maintenance Convictions
2. Hours of Service Convictions
3. Vehicle Weights and Dimensions Convictions
4. Load Security Convictions
5. Dangerous Goods Convictions
6. Operating While Under Sanction
7. Operating Without Proper Authority (without NSC certificate, etc.)